

Question #: ERO R07 SOP #1  
 Question: Send Notification of Default (SW2) with approval signature within one business day of discovering the report is late  
 See ERO R07 SW2 support documentation for SW2 details

Entity Name	Serial#	LastTestDate	Test Due Date (6 mths from LastTestDate- calendar days)	SW2 Letter Sent	Delta Between Test Due Date & SW2 Letter Sent Date	SW2 sent w/in one business day	Findings
Carolina Farm Center, Inc.	1194092	03/05/2009	9/5/2009	10/9/2009	24	No	Invalid SW2 sent to entity
Lee Farmers Livestock Market, Inc.	3702H	03/05/2009	9/5/2009	10/9/2009	24	No	Invalid SW2 sent to entity
Conaway & Cooper, Inc.	5867E	03/11/2009	9/11/2009	10/23/2009	29	No	SW2 sent 29 days after due date
Gregory Cattle Company, Inc.	A07958	03/17/2009	9/17/2009	10/23/2009	25	No	Invalid SW2 sent to entity
Chaudhry Meat Co., Inc.	020929	04/08/2009	10/9/2009	11/20/2009	28	No	Invalid SW2 sent to entity
H & H Cattle Services, LLC	742M	04/09/2009	10/10/2009	11/20/2009	27	No	Invalid SW2 sent to entity
D & S Cattle Company, Inc.	634804000	04/24/2009	10/25/2009	12/4/2009	27	No	SW2 sent 27 days after due date
Hardee Livestock Market, Inc.	055998	04/21/2009	10/22/2009	12/4/2009	29	No	SW2 sent 29 days after due date
Charlottesville Livestock Market, Inc.	3486K	06/04/2009	12/5/2009	1/15/2010	27	No	Check for case file in ECM - report receive more than 30 days after SW2
Lazy S Livestock Market	8066	05/08/2009	11/8/2009	1/15/2010	45	No	Invalid SW2 letter sent
Hoover, Dale R.	037665	6/18/2009	12/19/2009	1/29/2010	26	No	SW 2 sent 26 days after due date
Case Farms, LLC	009745	6/23/2009	12/24/2009	1/29/2010	23	No	No Investigation was initiated and does not include notes
Empire Livestock Marketing, LLC	E23803-00	07/01/2009	1/1/2010	2/12/2010	28	No	SW2 sent 28 days after due date
Horst, Ronald J.	070440639	07/10/2009	1/10/2010	2/12/2010	23	No	SW2 sent 23 days after due date
Arab Livestock Market, Inc.	5016	07/23/2009	1/23/2010	2/26/2010	23	No	Invalid SW2 sent to entity - sent 23 days after due date
Columbia Livestock Market of Lake City, Inc.	F4017004	07/14/2009	1/14/2010	2/26/2010	29	No	Invalid SW2 sent to entity - sent 29 days after due date and receipt of report more than 30 days
Allen Family Foods, Inc.	071350100	08/07/2009	2/7/2010	3/12/2010	23	No	SW2 sent 23 days after due date
Cullman Stockyard, Inc.	6508583	07/27/2009	1/27/2010	3/12/2010	31	No	SW2 sent 31 days after due date and test was received 20 before letter was sent
Fieldale Farms Corporation	S2554	08/13/2009	2/13/2010	3/26/2010	28	No	SW2 sent 28 days after due date and was received before the letter was sent
Hatfield Quality Meats, Inc.	018043	08/18/2009	2/18/2010	3/28/2010	26	No	No test report
Ash Flat Livestock Auction, Inc.	1136	08/24/2009	2/24/2010	4/9/2010	32	No	SW2 sent more than 30 days after due date of report
Batesville Stockyard of Charlotte, Inc.	F4077064	08/24/2009	2/24/2010	4/12/2010	33	No	SW2 sent more than 30 days after due date of report
Equity Group-Georgia Division, LLC	5673264-5	09/14/2009	3/17/2010	4/23/2010	27	No	Test received a month early, invalid SW2 sent to entity
McLamb's Abattoir	5739	09/10/2009	3/13/2010	4/23/2010	29	No	Test received two months early, invalid SW2 sent to entity
					Avg:	28	

Question #: ERO RO7 SOP #2

Question: Send Notification of Violation (SW3) with approval signature within one business day of determination

<u>Serial#</u>	<u>Approve</u>	<u>Mailed</u>	<u>Difference (Days)</u>	<u>Findings</u>
				Scale rejected but no SW3 letter sent. Per ERO, no SW3 letters were sent during this timeframe, because accurate test were provied in a timely manner. However, there was a rejected scale for Thomas Glover Farms on 2/22/2010 where a letter should have been sent.

Question #:

RO-7 SOP #3

Question:

Enter test date in PSAS within three business days of receipt

Entity Name	Serial#	Received per Date Stamp or Fax	Entry per Date Stamp	Yes/No	Findings
South Central Livestock, Inc.	1010	2/18/2010	2/19/2010	Yes	
Walker-Bird Enterprises, LLC	2130	4/12/2010	4/13/2010	Yes	
Tennessee Livestock Producers, Inc. (Fayetteville, Somerville and Columbia, TN)	F4077057	4/5/2010	4/6/2010	Yes	
Yates, Wendell & Robbins, Michael	96-9543	1/4/2010	1/4/2010	Yes	
Woodbury Livestock Market Inc.	00521316FL	4/19/2010	4/23/2010	No	
Lynchburg Livestock Market, Inc.	11640	2/3/2010	2/3/2010	Yes	
Smithfield Packing Co., Inc.	300531	1/27/2010	2/1/2010	Yes	
Thomas County Stockyards, Inc. and Jimmy P. Benton	S5666	11/16/2009	11/20/2009	No	
Empire Livestock Marketing, LLC	119946				Not provided from ERO, therefore, could not be reviewed
Deweese, Dallas	H148226GC	2/15/2010	2/18/2010	Yes	Faxed 2/15/10 but, date stamped 2/16/10

Question #: RO-7 SOP CL #1

Scales subject to P&SP jurisdiction require test and reporting at least semi-annually - check all dates in sample

Question: for compliance

Entity Name	Serial#	Date Last Tested	Test Date	Yes/No	Findings
South Central Livestock, Inc.	1010	9/2/2009	2/18/2010	Yes	
Walker-Bird Enterprises, LLC	2130	10/6/2009	4/12/2010	Yes	
Tennessee Livestock Producers, Inc. (Fayetteville, Somerville and Columbia, TN)	F4077057	9/28/2010	4/5/2010	Yes	
Yates, Wendell & Robbins, Michael	96-9543	11/19/2009	1/4/2010	Yes	Scale rejected on 11/19/10 scale but no SW3 letter was sent; corrected scale provided 1/4/10
Woodbury Livestock Market Inc.	00521316FL	10/9/2009	4/19/2010	Yes	
Lynchburg Livestock Market, Inc.	11640	6/12/2009	2/3/2010	No	More than 30 days late, could not find where an SW2 letter was sent
Smithfield Packing Co., Inc.	300531	6/18/2009	1/27/2010	Yes	
Thomas County Stockyards, Inc. and Jimmy P. Benton	S5666	5/22/2009	11/16/2009	Yes	
Empire Livestock Marketing, LLC	119946				Not provided from ERO, therefore, could not be reviewed
Deweese, Dallas	H148226GC	6/19/2009	2/15/2010	No	Faxed 2/15/10 but, date stamped 2/16/10; test was due in Dec 2009, the SW 2 letter was sent 26 days after the report was late

Question #:

RO-7 SOP CL #2

Question:

Did the BPU review the report to determine accuracy within 3 business days of receipt?

<u>Entity Name</u>	<u>Serial#</u>	<u>Received per Date Stamp or Fax</u>	<u>BPU Review</u>	<u>Yes/No</u>	<u>Findings</u>
South Central Livestock, Inc.	1010	2/18/2010	2/19/2010	Yes	
Walker-Bird Enterprises, LLC	2130	4/12/2010	4/13/2010	Yes	
Tennessee Livestock Producers, Inc. (Fayetteville, Somerville and Columbia, TN)	F4077057	4/5/2010	4/5/2010	Yes	
Yates, Wendell & Robbins, Michael	96-9543	1/4/2010	1/4/2010	Yes	
Woodbury Livestock Market Inc.	00521316FL	4/19/2010	4/20/2010	Yes	
Lynchburg Livestock Market, Inc.	11640	2/3/2010	2/3/2010	Yes	
Smithfield Packing Co., Inc.	300531	1/27/2010	2/1/2010	Yes	
Thomas County Stockyards, Inc. and Jimmy P. Benton	S5666	11/16/2009	11/17/2009	Yes	
Empire Livestock Marketing, LLC	119946				Not provided from ERO, therefore, could not be reviewed
Deweese, Dallas	H148226GC	2/15/2010	2/16/2010	Yes	Faxed 2/15/10 but, date stamped 2/16/10

Question #:

RO-7 SOP CL #3

Question:

If inaccurate and rejected, was an SW3 letter (NOV) sent through Enforcement folder?

Entity Name	Serial#	Last Test Date	NOV Sent Yes/No	Findings
Thomas Glover Farms	9780L	2/22/2010	No	Scale rejected but no SW3 letter sent. Per ERO, no SW3 letters were sent during this timeframe, because accurate test were provided in a timely manner

Question #: RO-7 SOP CL #4

If the scale owner did not respond to the NOV within 15 days, did the assigned Agent  
Question: initiate the Investigation process?

Serial#	Yes/No	Findings
		Per ERO, no SW3 letters were sent during this timeframe because accurate test were received in a timely manner.