

Question #:

ERO RO7 SOP #1

Question:

Send Notification of Default (SW2) with approval signature within one business day of discovering the report is late

Entity Name	Serial#	LastTestDate	Test Due Date (6 mths from LastTestDate- calendar days)	LastActionDate (SW1)*	SW2 Letter Sent	Delta Between Test Due Date & SW2 Letter Sent Date	SW2 sent w/in one business day	Findings
Bluegrass Maysville Stockyards, LLC	639	03/02/2009	9/1/2009	09/08/2009	10/9/2009	28	No	SW2 sent 28 days after report was late
Pender Livestock, Inc.	34395	2/27/2009	8/30/2009	09/08/2009	10/9/2009	29	No	SW2 sent 29 days after report was late
Florence Livestock, Inc.	1170985	3/11/2009	9/10/2009	09/22/2009	10/23/2009	30	No	SW2 sent 30 days after report was late
Olean Livestock Market, Inc.	32793	3/9/2009	9/8/2009	09/22/2009	10/23/2009	32	No	SW2 sent 32 days after report was late
Johnsonville Sausage, LLC	92360542	3/25/2009	9/24/2009	10/06/2009	11/6/2009	30	No	SW2 sent 30 days after report was late; scale inactive
Canal Capital Corporation	22462	3/26/2009	9/25/2009	10/06/2009	11/6/2009	29	No	SW2 sent 29 days after report was late
Callaway Livestock Center, Inc.	95094630	4/22/2009	10/23/2009	11/3/2009	12/4/2009	28	No	SW2 sent 28 days after report was late
John Morrell & Co.	145370008	4/27/2009	10/28/2009	11/3/2009	12/4/2009	25	No	SW2 sent 25 days after report was late
Milan Livestock Auction, Inc.	27518	4/8/2009	10/9/2009	10/20/2009	12/4/2009	37	No	SW2 sent 37 days after report was late
Monroe, Lee and Cocquit, Patrick	526758	7/1/2009	1/1/2010	01/12/2010	2/12/2010	28	No	SW2 sent 28 days after report was late
Tri-County Livestock Auction, Inc.	623	05/13/2009	11/12/2009	12/01/2009	2/12/2010	62	No	SW2 sent 62 days after report was late
Gordon Livestock Auction Market, Inc.	1020	7/13/2009	1/13/2010	01/26/2010	2/26/2010	30	No	SW2 sent 30 days after report was late
Wheaton Livestock Auction, LLC	132381	7/23/2009	1/23/2010	02/19/2010	3/26/2010	43	No	SW2 sent 43 days after report was late
Kerr, Harry	E16108-0153	8/19/2009	2/16/2010	2/23/2010	3/26/2010	28	No	SW2 sent 28 days after report was late
Cofax Livestock Sales, LTD	H558019NE	8/26/2009	2/23/2010	3/9/2010	4/9/2010	33	No	SW2 sent 33 days after report was late
Hormel Foods Corporation	MWS3329	08/29/2009	2/26/2010	03/09/2010	4/9/2010	30	No	SW2 sent 30 days after report was late and was received before the letter was sent
Hormel Foods Corporation	MWS3330	08/29/2009	2/26/2010	03/09/2010	4/9/2010	30	No	SW2 sent 30 days after report was late and was received before the letter was sent
Wetzel, Robert P. and Wetzel, Gary A.	2630	8/25/2009	2/22/2010	03/09/2010	4/9/2010	34	No	SW2 sent 34 days after report was late
Bullitt County Stockyards	0042802-60k	09/21/2009	3/22/2010	03/23/2010	4/23/2010	24	No	SW2 sent 24 days after report was late and received before the letter was sent
Nebraska Livestock Sales of Broken Bow, LLC	6918J	09/16/2009	3/17/2010	03/23/2010	4/23/2010	27	No	No test report/no investigation was initiated
Glacial Lakes Livestock, Inc.	134300017	09/10/2009	3/11/2010	03/23/2010	4/23/2010	31	No	No test report/no investigation was initiated
Loy Brothers Cattle Inc.	B46523	09/15/2009	3/16/2010	03/23/2010	4/23/2010	28	No	SW2 sent 28 days after report was late
Henderson, Scotty Eugene	81131	09/21/2009	3/22/2010	03/23/2010	4/23/2010	24	No	SW2 sent 24 days after report was late
Cattlemen's Livestock, Inc.	128534	09/19/2009	3/20/2010	03/23/2010	4/23/2010	24	No	SW2 sent 24 days after report was late

Avg:

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Question #:

RO-7 SOP #3

Question:

Enter test date in PSAS within three business days of receipt

Entity Name	Serial#	Received per Date Stamp or Fax	Entry per Date Stamp	Yes/No	Findings
Farmland Foods, Inc.	69963	4/2/2010	4/6/2010	Yes	
Hormel Foods Corporation	1006200500 37	N/A	N/A		Outside timeframe
Ault, Mike	40286	3/23/2010	3/24/2010	Yes	
Kinderman, Fred A.	2001870	12/28/2009	12/28/2009	Yes	
Sitting Bull Auction Company	E13707- 0140	4/15/2010	4/15/2010	Yes	
Wiechman Pig Company, Inc.	0524301000 89	N/A	N/A		Outside timeframe
Central States Cattle co	7678M	11/17/2009	11/19/2009	Yes	
United Producers, Inc.	J7111	4/19/2010	4/19/2010	Yes	
Tri-County Livestock Exchange, Inc.	27815	4/23/2010	4/28/2010	Yes	
Heritage Livestock, Inc.	10952	3/11/2010	3/11/2010	Yes	
Lembley, Herris	DI11078	12/7/2009	12/7/2009	Yes	
Aurora Packing Company, Inc.	B03465	11/12/2009	11/20/2009	No	Discrepance with serial# between entry in AMS (B03465) and actual test report (A P001)

Question #:

RO-7 SOP CL #1

Question:

Scales subject to P&SP jurisdiction require test and reporting at least semi-annually - check all dates in sample for compliance

Entity Name	Serial#	Date Last Tested	Test Date	Yes/No	Findings
Farmland Foods, Inc.	69963	8/28/2009	3/31/2010	Yes	Scale was due by end of Feb, however, it appears no SW2 was sent. If an SW2 was sent, the entity is within the 30 day response time
Hormel Foods Corporation	100620050037	N/A	N/A		Outside timeframe
Ault, Mike	40286	9/23/2009	3/23/2010	Yes	
Kinderman, Fred A.	2001870	4/9/2009	12/28/2009	No	Report was due in Oct, however, next test received more than 30 days late, it appears no SW2 was sent
Sitting Bull Auction Company	E13707-0140	10/2/2009	4/6/2010	Yes	
Wiechman Pig Company, Inc.	052430100089	N/A	N/A		Outside timeframe
Central States Cattle co	7678M	3/30/2009	10/19/2009	Yes	
United Producers, Inc.	J7111	10/14/2009	4/14/2010	Yes	
Tri-County Livestock Exchange, Inc.	27815	10/29/2009	4/13/2010	Yes	Date late tested not on scale report; validated in AMS
Heritage Livestock, Inc.	10952	9/10/2009	3/10/2010	Yes	The scale was inaccurate on 3/8/10, corrected scale within 2 days
Lembley, Herris	DI11078	11/2008	11/4/2009	No	Even though, the report does not indicate an exact date, the next test was not received on a semi-annual basis
Aurora Packing Company, Inc.	803465	8/1/2009	11/12/2009	No	Date last tested not on scale test report, AMS indicates last test date of 8/1/09 , which was acceptable; no explanation in notes why another scale was received within 3 months

Question #:

RO-7 SOP CL #2

Question:

Did the BPU review the report to determine accuracy within 3 business days of receipt?

Entity Name	Serial#	Received per Date Stamp or Fax	BPU Review	Yes/No	Findings
Farmland Foods, Inc.	69963	4/2/2010	4/6/2010	Yes	
Hormel Foods Corporation	100620050037	N/A	N/A		Outside timeframe
Ault, Mike	40286	3/23/2010	3/27/2010	Yes	Faxed 3/23/10 but date stamped as received 3/24/10
Kinderman, Fred A.	2001870	12/28/2009	12/31/2009	Yes	
Sitting Bull Auction Company	E13707-0140	4/15/2010	4/19/2010	Yes	Determined not acceptable
Wiechman Pig Company, Inc.	052430100089	N/A	N/A		Outside timeframe
Central States Cattle co	7678M	11/17/2009	11/19/2009	Yes	Faxed 11/17/09 but date stamped as received 11/18/09
United Producers, Inc.	J7111	4/19/2010	4/27/2010	No	
Tri-County Livestock Exchange, Inc.	27815	4/23/2010	5/3/2010	No	Two date stamps for receipt of report on 4/20/10 and 4/23/10; determined not acceptable
Heritage Livestock, Inc.	10952	3/11/2010	3/12/2010	Yes	
Lembley, Herris	DI11078	12/7/2009	12/18/2009	No	
Aurora Packing Company, Inc.	B03465	11/12/2009	11/30/2009	No	Discrepance with serial# between entry in AMS (B03465) and actual test report (A P001)

Question #:

RO-7 SOP CL #3

Question:

If inaccurate and rejected, was an SW3 letter (NOV) sent through Enforcement folder?

Entity Name	Serial#	Last Test Date	NOV Sent Yes/No	Findings
Hormel Foods Corporation	24747	11/5/2009	No	Per MRO, state retested and approved, no need to send SW3. However, AMS was not updated with corrected scale report, it shows the inaccurate/rejected test; notes were not included in AMS for clarification
Hormel Foods Corporation	24753	11/5/2009	No	Per MRO, state retested and approved, no need to send SW3. However, AMS was not updated with corrected scale report, it shows the inaccurate/rejected test; notes were not included in AMS for clarification
Blue Grass Stockyards, L.L.C.	8665G	10/14/2009	No	Per MRO, state retested and approved on 11/5/09, therefore, did not send SW3. However, AMS was not updated with corrected scale report, it shows the inaccurate/rejected test; notes were not included in AMS for clarification
Sulphur Springs Stockyards, Inc.	45820	11/6/2009		Per MRO, scale repaired, new test on 11/13, therefore, no SW3 was needed. Corrected test is included in AMS
Day & Day, Inc.	200936	3/11/2009	No	Received hardcopy of SW3, however, it was not sent through the Enforcement folder
Triumph Foods, LLC	MWS 4732	1/18/2010	No	Per MRO, BPU contacted the entity on 2/17, no SW3 sent, new test received 2/22. Corrected test is included in AMS